



# Legislative Lobbyist Conflict of Interest Statement

**WHEN TO FILE** If a lobbyist is required to file either a conflict procedures statement or an exemption statement, the lobbyist shall file the statement with the commission at the time the lobbyist files the lobbyist's annual registration statement under IC 2-7-2.

**APPLICABILITY:** If a registered lobbyist has a conflict of interest as defined in IC 2-7-5-10, which includes a significant risk of such a conflict, the lobbyist may use this form to comply with the requirements of that section. Under IC 2-7-5-10, the term "conflict of interest" is defined as follows:

*"Conflict of interest" means a circumstance where:*

- (1) the representation of a client will be directly adverse to another client; or*
- (2) there is a significant risk that the representation of one (1) or more clients will be materially limited by the lobbyist's responsibilities to:*
  - (A) another client; or*
  - (B) a personal interest of the lobbyist.*

**Exemption-Attorney Lobbyist.** If the lobbyist's activity is governed by the Rules of Professional Conduct of the Indiana Supreme Court, the lobbyist is not required to file a conflict procedures statement. However, if such a lobbyist has a conflict of interest, the lobbyist shall file a statement with the commission verifying that the lobbyist is governed by the Rules of Professional Conduct of the Indiana Supreme Court.

## OPTIONAL STATEMENTS

**Conflict Procedures Statement.** Without the prior written consent of an existing client, the lobbyist will not represent another client if that representation would create a conflict of interest as defined in IC 2-7-5-10. The lobbyist agrees that a provision that is consistent with this statement will be included in the agreement between the lobbyist and the client for the lobbyist's services as a lobbyist.

**Exemption Statement-Attorney Lobbyist.** The lobbyist is not required to file a conflict procedures statement because the lobbyist's activity is governed by the Rules of Professional Conduct of the Indiana Supreme Court.

In lieu of the optional conflict procedures statement set forth above, a lobbyist may provide the lobbyist's own statement that describes the procedures that the lobbyist and the lobbyist's client will follow if the lobbyist or the client determines at any time that the lobbyist's representation of the client might involve a conflict of interest. Such a statement must be included in the agreement between the lobbyists and the client for the lobbyist's services as a lobbyist.

\_\_\_\_\_  
Signature of Lobbyist

\_\_\_\_\_  
Date

PLEASE CONTACT THE INDIANA LOBBY REGISTRATION COMMISSION WITH ANY QUESTION/COMMENTS:

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